

UNI-0001 (032771-000002)

Dep & Ref  
R 011  
607

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jack H. Chang

SERIAL NO.: 09/611,178 CONFIRMATION NO.: 5599

FILING DATE: July 6, 2000

TITLE: Apparatus on Method for PBX-Integrated Unified Messaging Services on a Switched Backbone

EXAMINER: Lisa Hashem TELEPHONE: (571) 272-7542  
FAX: (703) 872-9306

ART UNIT: 2645

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop 16 Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on the date printed below:

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Name:

Beatrice Orozco  
Beatrice Orozco**MAIL STOP 16**

Commissioner for Patents,  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR REFUND OF FEES**

Dear Sir:

Applicant respectfully requests that the United States Patent and Trademark office issue a refund in the amount of \$233.00, to: THELEN REID & PRIEST LLP, deposit account no. 50-1698 which we believe was incorrectly charged by the United States Patent and Trademark Office on November 7, 2005 for: codes 1201 and 1202 (large entity fees). Please note that Applicant claims small entity status, a copy of the Verified Small Entity Status as filed on October 17, 2000 is enclosed.

Applicant hereby believes the following large entity fees were incorrectly charged to our deposit account: one (1) independent claim fee in the amount of \$88.00 and eighteen (18) additional dependent claims in the amount of \$324.00 a total of \$412.00.

Applicant hereby believes the following small entity fees are due to the United States Patent and Trademark Office: one (1) additional independent claim at \$44.00 and fifteen (15) extra dependent claims in the amount of \$135.00 for a total of \$179.00 (please see the table below for a breakdown of the claims and fees).

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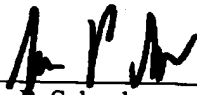
UNI-0001 (032771-000002)

As filed	Independent claims	Dependent Claims	Total claims	Fees
Application filed 7/6/00	7	62	69	paid for 4 claims in excess of 3; paid for 49 claims in excess of 20
Amendment filed 4/19/2004	7	62	69	no fee due
Amendment filed 11/15/2004	8	76	84	<b>Fee owed to USPTO: 1 extra independent claim; 15 extra dependent claims</b>
Amendment filed 10/24/2005	8	76	84	no fee due

An Amendment was filed with the application on November 15, 2004 adding new claims 70-84, which included one (1) additional independent claim and fifteen (15) extra dependent claims. Therefore, it is believed that applicant owes the United States Patent and Trademark Office a total of \$179.00 and a refund of \$233.00 is due to be refunded to our Deposit Account No. 50-1698. Please call the undersigned at the number below with any questions regarding this matter.

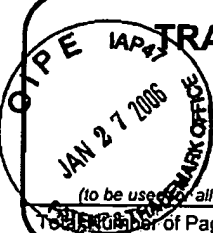
Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: January 19, 2006


  
John P. Schaub  
Reg. No. 42,125

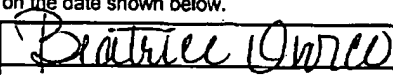
THELEN REID & PRIEST LLP  
P.O. Box 640640  
San Jose, CA 95164-0640  
Telephone: (408) 292-5800  
Facsimile: (408) 287-8040

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 <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/611,178
	Filing Date	July 6, 2000
	First Named Inventor	Jack H. Chang
	Art Unit	2645
	Examiner Name	Lisa Hashem
Total Number of Pages in This Submission	Attorney Docket Number	UNI-0001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	THELEN REID & PRIEST LLP		
Signature			
Printed Name	John P. Schaub		
Date	1/19/06	Reg. No.	42,125

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Beatrice Orozco	Date	1/25/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# Deposit Account Statement

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# Deposit Account Statement

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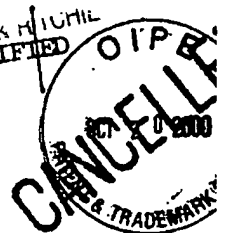
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Inventors: Jack Chang  
Serial No.: 09/611,178  
Filing Date: July 6, 2000  
Title: APPARATUS AND METHOD FOR PBX-INTEGRATED UNIFIED  
MESSAGING SERVICES ON A SWITCHED BACKBONE

Attorney: David B. Ritchie  
Docket No.: UNI-001  
Office Action Date:  
Papers Filed:

1. Response to Notice to File Missing Parts;
  2. Declaration & Power of Attorney;
  3. Verified Statement Claiming Small Entity Status;
- Amount of Notice to File Missing Parts; and  
Amount of \$1,021.00.

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Applicant: Jack H. Chang  
 Serial No.: 09/611.178  
 Filed: July 6, 2000  
 For: Apparatus and Method for PBX-Integrated Unified Messaging Services on a Switched Backbone

**VERIFIED STATEMENT (DECLARATION) CLAIMING  
 SMALL ENTITY STATUS (37 C.F.R. 1.9(f) and 1.27(c))  
 SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
 x an official of the small business concern identified below and empowered to act on its behalf.

NAME OF BUSINESS: United Connections, Inc.

ADDRESS OF BUSINESS: 70 Bonaventura Drive, San Jose, CA 95134

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled:

**"Apparatus and Method for PBX-Integrated Unified Messaging Services on a Switched Backbone"**

by inventor: Jack H. Chang

described in:

- ☐ the specification filed herewith  
 x application Serial No. 09/611.178, filed July 6, 2000  
☐ patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held



by any person, other than an inventor who qualifies as an individual inventor pursuant to 37 C.F.R. §1.9(c), who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).\*

\*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

Name \_\_\_\_\_

Company Name United Connections, Inc.

Address 70 Bonaventura Drive, San Jose, CA 95134

Signature 

10/09/00

Date

Jack H. Chang  
Print Name

President & CEO  
Title

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01 FC:1201	<del>88.00 DA</del>
02 FC:1202	<del>324.00 DA</del>

Adjustment date: 03/03/2006 SFELEKE1  
~~11/07/2005 MJONES2 00000002 501698 09611178~~  
~~01 FC:1201 88.00 CR~~  
~~02 FC:1202 324.00 CR~~

03/03/2006 SFELEKE1 00000002 501698 09611178

01 FC:2201	44.00 DA
02 FC:2202	135.00 DA

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